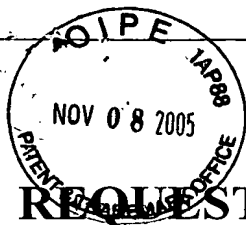


11-10-05

RCE  
JW

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

# REQUEST FOR CONTINUED EXAMINATION (RCE)

## TRANSMITTAL FORM (37 C.F.R. § 1.114)

DOCKET NO. 11129/2	APPLICATION SERIAL NO. 09/447,319	EXAMINER Hani M. Kazimi	ART UNIT 3624
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Applicant(s):  
Michael Adrian GOOCH

Address to:  
**Mail Stop RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a **request for continued examination** under 37 C.F.R. § 1.114 (RCE) of pending application Serial No. 09/447,319, filed on November 22, 1999, entitled **SYSTEM AND METHOD FOR TRADING INSTRUMENT**

The following constitute the submission **required** by 37 C.F.R. § 1.114(a) and is attached:

☒ X Reply AMENDMENT AFTER A FINAL OFFICE ACTION (of 01/18/05)  
☐ Information Disclosure Statement  
☐ Drawing Changes  
☐ Other Submission: \_\_\_\_\_

1. The filing fee for this RCE and the required amendment/submission is calculated below. The fee below is calculated based on the status of the claims after the entry of the attached Amendment/submission. The fee for any new additional claims is included with this RCE, the fee for previously entered additional claims having already been paid.

	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA*	RATE (\$) PER CLAIM	FEE (\$)
BASIC FEE						790.00
TOTAL CLAIMS	22	20	22	0	50.00	0.00
INDEPENDENT CLAIMS	4	3	4	0	0.00	0.00
MULTIPLE DEPENDENT CLAIM					300.00	
				Number extra must be zero or larger	TOTAL	790.00
If Applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.						SMALL ENTITY TOTAL

**Express Mail No. EV 323024927 US**

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01 FC:1801 790.00 DA  
02 FC:1252 450.00 DA

2. Please charge the required RCE and submission filing fee of **\$790.00** to the deposit account of **Kenyon & Kenyon, deposit account number 11-0600**.
3. This is also a Petition pursuant to 37 C.F.R. § 1.136(a) by which Applicant(s) respectfully request(s) a two-month extension of time to extend the time to respond (to the Final Office Action dated January 18, 2005) from the two-month APPEAL BRIEF date of September 18, 2005 (since a **Notice of Appeal was mailed on July 15, 2005 and filed in the Patent Office on July 18, 2005**) to **November 18, 2005**. The Commissioner is authorized to charge payment of the 37 C.F.R. § 1.136(a) extension fees, which are believed to be **\$450.00** to the deposit account number **11-0600** of **Kenyon & Kenyon**.
4. The Commissioner is hereby authorized, as necessary and/or appropriate, to charge payment of any other fees (including any additional claim fees and/or extension fees) required, associated with this communication or arising during the pendency of this application, or to credit any overpayment, to the deposit account number **11-0600** of **Kenyon & Kenyon**.
5. **Two duplicate copies** of this Transmittal Form and Petition are enclosed for the above purposes.

Dated: 11/8/2005

Respectfully submitted,

By 

Aaron C. Deditch (Reg. No. 33,865)

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